

IN-US020199

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ken'ichi SUGAWARA et al.

Patent Art Unit: 3653

Serial No.: 10/645,624

Filed: August 22, 2003

For: ROTOR BRAKING DEVICE FOR
SPINNING REEL**INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATION**Assistant Commissioner of Patents
Washington, DC 20231

Sir:

In accordance with MPEP 609 and 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant brings the attached reference to the Examiner's attention and requests that it be considered and made of record in this application. Also attached is a completed PTO-1449 form.

I hereby certify that each item of information in the Information Disclosure Statement filed herewith was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this information disclosure statement. A copy of European Search Report of the corresponding European Application (No. 03394074, 3-2313) is attached hereto.

No fee is believed to be required in view of the above certification. However, Applicant[s] authorize[s] the Commissioner of Patents and Trademarks to charge payment of the Petition fee Under 37 C.F.R. §1.17(p) *if required* and any other fees associated with this communication or credit any overpayment to Deposit Account No. 50-1836.

Japanese Patent Application Publication 10-4839 discloses a fishing reel having a lever member 60 that pivots together with the movement of the bail arm 50, and the braking member 70 that contacts the reel body 1 and generates a braking force to the lever member 60 when the lever member 60 is driven toward the reel body 1.

Respectfully submitted,

Kiyoe K. Kabashima
Reg. No. 54,874

SHINJYU GLOBAL IP COUNSELORS, LLP

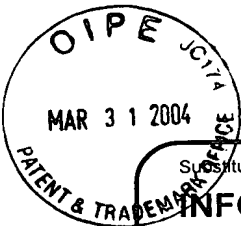
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Dated: Mar 31, 2004

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/645,624
Filing Date	08/22/2003
First Named Inventor	Ken'ichi SUGAWARA et al.
Group Art Unit	3653
Examiner Name	
Attorney Docket Number	SN-US020199

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 6,382,542-B1	05/07/2002	SATO	Abstract, column 11, last paragraph, column 9, line 60-column 10, line 3, figs 8, 12
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		EP-1149529-A1	10/31/2001	SATO	Figs 2, 4, 8, 9, claim 1, abstract	Yes
		EP-1323344-A2	07/02/2003	TAKIKURA et al.	Paragraph '0052!, figs 2, 4-6	Yes
		JP-10-4839-A	01/13/1998	SATO	Abstract	Yes

Examiner
SignatureDate
Considered

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.